

10/10/01
U.S. PTOPlease type a plus sign (+) inside this box → +Approved for use through 10/31/02. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCEUTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 263742002301

First Inventor Julian A. BLAIR

Title DERIVATIZED CARBOHYDRATES, COMPOSITIONS COMPRISING THEREOF
AND METHODS OF USE THEREOF

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CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: November 1, 2001

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Tamara Alcaraz

PTO

JC675 U.S. PTO
11/01/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. Fee Transmittal Form (e.g. PTO/SB/17) + Duplicate - 2pages
(Submit an original, and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 37]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 USC 113) [Total Sheets 3]

5. Oath or Declaration [Total Pages 1]
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. Application Data Sheet--2 Pages. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 CFR 3.73(b) Statement
(where there is an assignee) Power of Attorney

11. English Translation document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment (4 pages)

14. Return Receipt Postcard (MPEP 503)
Should be specifically itemized

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP). of prior application No: 09/218,845 filed December 22, 1998

Prior application information:

Examiner M. Hartley

Group / Art Unit: 1619

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Labelor Correspondence address below

25226

PATENT TRADEMARK OFFICE

(Insert Customer No. or Attach bar code label here)

Name	Morrison & Foerster LLP				
Address	755 Page Mill Road				
City	Palo Alto	State	CA	Zip Code	94304-1018
Country	U.S.A.	Telephone	(650) 813-5600	Fax	(650) 494-0836
Name (Print/Type)	Madeline I. Johnston	Registration No. (Attorney/Agent)	38,174		
Signature	Madeline I. Johnston, November 1, 2001				

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U.S. PTO
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FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1632.00)

Complete if Known	
Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Julian A. BLAIR
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned

Attorney Docket No. 263742002301

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 03-1952

Deposit Account Name Morrison & Foerster LLP

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740)

2. EXTRA CLAIM FEES

Total Claims	54	- 20** =	34	Extra Claims	Fee from below	Fee Paid
Independent Claims	2	- 3** =	0	x	18	= \$ 612

Multiple Dependent 280 = \$ 280

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claims, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 892)

** or number previously paid, if greater; For reissues, see above.

3. ADDITIONAL FEES	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130		205	65	Surcharge - late filing fee or oath	
127	50		227	25	Surcharge - late provisional filing fee or cover sheet	
139	130		139	130	Non-English specification	
147	2,520		147	2,520	For filing a request for ex parte reexamination	
112	920*		112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*		113	1,840*	Requesting publication of SIR after Examiner action	
115	110		215	55	Extension for reply within first month	
116	400		216	200	Extension for reply within second month	
117	920		217	460	Extension for reply within third month	
118	1,440		218	720	Extension for reply within fourth month	
128	1,960		228	980	Extension for reply within fifth month	
119	320		219	160	Notice of Appeal	
120	320		220	160	Filing a brief in support of an appeal	
121	280		221	140	Request for oral hearing	
138	1,510		138	1,510	Petition to institute a public use proceeding	
140	110		240	55	Petition to revive - unavoidable	
141	1,280		241	640	Petition to revive - unintentional	
142	1,280		242	640	Utility issue fee (or reissue)	
143	460		243	230	Design issue fee	
144	620		244	310	Plant issue fee	
122	130		122	130	Petitions of the Commissioner	
123	50		123	50	Petitions related to provisional applications	
126	180		126	180	Submission of Information Disclosure Stmt	
581	40		581	40	Recording each patent assignment per properties (times number of properties)	
146	740		246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740		249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740		279	370	Request for Continued Examination (RCE)	
169	900		169	900	Request for expedited examination of a design application	
Other fee (specify) _____						

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

Complete (if applicable)

Name (Print/Type)	Madeline I. Johnston	Registration No. (Attorney/Agent)	36,174	Telephone	(650) 813-5840
Signature	<i>Madeline I. Johnston</i>			Date	November 1, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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